

EXHIBIT 11

Internal Investigation Form - Read Only: IC10005624886



Investigation Form

* All required fields will be highlighted in RED until the entry is saved. These required fields must be completed prior to submitting for approval.

Legal Hold? [?]

Investigation

Notes

All

Incident Detail

* Location	14489 - Store #14489 - 3387 BROADWAY NEW YORK, NY	Date Created	11/2/2015
1 - Location Type	Store	Date of Incident [?]	11/2/2015
2 - Department	Field APM	Day Of Week	Monday
3 - Leadership	Senior Director APS	Incident Time [?]	
4 - Management	APD 35 JOE STEIN	Event Type	Internal
Store Phone Number	[REDACTED]	Event Sub Type	
Address	3387.BROADWAY	* Predication Source	Store Operations
City	NEW YORK	* Predication Method	Exception Report Review
State	NY		
ZIP	10031		
District	D00760 Upper Manhattan DWAYNE RICHARDS		
Region	R00035 Duane Reade Jeff Bruneteau		

Record Status

Created By FERNANDO MALDONADO
Owner ID TROY HENNESSY
Status -Closed

Subject Information (4)

Last Name PEREZ
First Name [REDACTED]
Home Address [REDACTED]
Address 2 [REDACTED]
City [REDACTED]
State [REDACTED]
Zip / Postal Code [REDACTED]
Office Phone [REDACTED]
Birth Date [REDACTED]
Job Title Cashier Duane Reade
Employee ID [?] 2329750
Employee Status Terminated
Department District Management
Company Hire Date 11/27/2013

Separation Date 10/5/2016**Last Name** DAVIS

Last Name SPEARS
Middle Name [REDACTED]
First Name [REDACTED]
Home Address [REDACTED]
Address 2 [REDACTED]
City [REDACTED]
State [REDACTED]
Zip / Postal Code [REDACTED]
Birth Date [REDACTED]
Job Title Stockperson Duane Reade
Employee ID [?] 2468160
Employee Status Terminated
Department District Management
Company Hire Date 2/12/2015

Separation Date 11/9/2015**Last Name** WASHINGTON

Middle Name [REDACTED]
First Name [REDACTED]
Home Address [REDACTED]
Address 2 [REDACTED]
City [REDACTED]
State [REDACTED]
Zip / Postal Code [REDACTED]
Birth Date [REDACTED]
Job Title Cashier Duane Reade
Employee ID [?] 2494982
Employee Status Terminated
Department District Management
Company Hire Date 5/21/2015
Separation Date 12/16/2015

Middle Name C
First Name CIERA
Home Address [REDACTED]
Address 2 [REDACTED]
City [REDACTED]
State [REDACTED]
Zip / Postal Code [REDACTED]
Birth Date [REDACTED]
Job Title Shift Leader Duane Reade
Employee ID [?] 2534450
Employee Status Terminated
Department District Management
Company Hire Date 9/16/2015
Separation Date 2/23/2016

Reporting Party [?] Yes

Reporting Party [?] (1)

First Name LUIS
Middle Name ADAMS
Last Name GUERRERO
Employee ID 1874910
Job Title Duane Reade Store Manager

Witness [?]

Person with Knowledge [?]

Parent or Guardian [?]

Victim [?]

Allegation(s)

Subject of Allegation	Allegation	Specific Type	Method	Allegation Status
[REDACTED] SPEARS (Subject Information)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Confirmed
[REDACTED] PEREZ (Subject Information)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Not Confirmed
[REDACTED] DAVIS (Subject Information)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Confirmed

*** Have You Finalized All Allegation Statuses?**

Was Training/Policy Reviewed with Team Members?

Investigation Detail

Investigative Questions

Were you assisted by any Corporate APS Personnel (Ex. Investigative Analyst)? No

LP Dashboard Usage

Was AP Dashboard Used? No

Administrative Section

* Do any Prescription Billing Claims need to be Reversed? No

Please contact your Healthcare Supervisor to coordinate the reversal

* Was Cash, Merchandise or Drugs Involved? No

Was Credit or Debit card, W Card, Balance Rewards, Prescription Savings Club or Checking Account information obtained?

Law Enforcement Notified?

* Full Narrative

On 11/02/2015 Asset Protection Manager (APM) Troy Hennessy was contacted by the Point of Contact (POC) [REDACTED] Maldonado regarding possible fraudulent discounts at store 14489. POC attached a business objects report concerning price modifications.

On 11/05/2015 APM reviewed CCTV and POS transactions. [REDACTED] Spears (Subject) can be seen on camera at the same time price modifications are notated on the business objects report. Also seen on camera is [REDACTED] Perez (Subject Perez) receiving discounted merchandise from Subject Spears.

On 11/09/2015 APM interviewed Subject Spears and Subject Perez in the presence of Store Manager (SM) Luis Guerrero. Subject Perez stated that the discount she received was due to a sale product substitution. This was confirmed by reviewing the sales flyer. Subject Spears admitted to performing fraudulent price modifies totaling \$480. Subject stated that he was performing price modifications for people that needed help. APM contacted Human Resources (HR) Representative Vivian Ghobrial and shared the facts of the case.

On 11/12/2015 Subject Spears was terminated by SM after HR recommendation.

Further review of video shows [REDACTED] Davis (Subject Davis) and Ciera Washington (Subject Washington) receiving discounted merchandise from Subject Spears as well. Subject Davis resigned from the company before an interview was possible. Subject Washington is currently on medical leave.

On 2/22/2016 APM interviewed Subject Washington in the presence of SM. Subject Washington admitted to leaving the store with merchandise that was reduced by Subject Spears. Subject Washington stated that she returned some merchandise at a later date. Subject Washington provided a written statement. Subject was suspended by SM. APM HR and shared the facts of the case along with the statement.

On 2/23/2016 Subject Washington was terminated by SM after HR recommendation

*Video files will not upload but are filed at 40 Wall St. 21st Floor NY, NY 10003

Evidence Attachments

(After attaching Digital Evidence, Retain a Hard Copy Only if Required by Authorities) (6)

Description	File Name	Attached By	Date	Evidence given to PD
ELECTRONIC JOURNAL REPORT (Transactions 10/10/2015)	14489 Transactions 10102015.pdf		11/2/2015	0
OTHER	14489 statements.pdf	TROY HENNESSY	11/16/2015	0
WRITTEN STATEMENT(S)	14489 [REDACTED] perez statement.pdf	TROY HENNESSY	11/20/2015	0
WRITTEN STATEMENT(S)	14489 [REDACTED] Yeotis statement.pdf	TROY HENNESSY	11/20/2015	0
ELECTRONIC JOURNAL REPORT	ciera washington br history .pdf	TROY HENNESSY	3/1/2016	0

OTHER	clera statement.pdf	TROY HENNESSY	3/1/2016	0
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Forms & Letters (0)

Letter Type	Investigation Related Person	Date Printed/Sent	Printed/Sent by	Printed/Sent
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Related Events

Related investigation

Related case

- [IC10005841407](#)
- [IC10005966063](#)

Notes [?]

11/5/2015 Store visit- allegation is conformed through CCTV. See subject modifying for another employee [REDACTED]. Also possible balance reward fraud. [REDACTED] lang may be another person of interest

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Confidentiality

Mark this Investigation Confidential? No